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## **Company Information**

SEC Registration No.: CS201300728 Company Name: FIRST METRO PHILIPPINE EQUITY EXCHANGE TRADED FUND, INC. Industry Classification: J66910 Company Type: Stock Corporation

## **Document Information**

Document ID: OST10714202381477226 Document Type: General Information Sheet Document Code: GIS Period Covered: June 21, 2023 Submission Type: Annual Meeting Remarks: None

Acceptance of this document is subject to review of forms and contents

# **COVER SHEET**

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		S.	E.C. Re	egistra	ition I	Numbe	r	
FIRST METRO PHILLIPI	P	NE	E	EQ	U	1	ΓΙΥ	
E X C H A N G E   T R A D E D   F U I	ND	, ]		V   C		T		
					ľ .			
					1			
(Company's Full Name)								
1 8 T H F L O O R P S B A N K	C	EN	T   I	E   R	Γ			
7 7 7 P A S E O D E R O X A S	S	т						
M A K A T I C I T Y		1						
(Business Address: No. Street City / Town	Province)							
EDWIN B. VALEROSO	(   0	2 ) Com	8	8 9 elephor	1 ne Nu		8 6	0
					21	nd Wee	d. of N	lay
1     2     3     1       Month     Day     GENERAL INFORMATION SHEET	ET				Mc Mc	onth		Day
Fiscal Year Form Type						Annual	Meetir	g
INVESTMENT COMPANY Secondary License type, if applicab	ble							
CRMD Dept. Requiring this Doc.			Amend	ed Arti	cles N	lumber	/Sectio	]
Dept. Requiring this Doc.		Тс	otal am					
Total No. of Stockholders		Domes					eign	
To be Accomplished by SEC Personnel	concerne	ed						
File Number LCU			-					
Document I.D.			-					
Remarks = Pls. use black ink for scanning purposes.								

r										
		ERAL INFORMATIO	N SHEET	Г (GIS)						
	FO	OR THE YEAR 2023	TION							
OPNED AL INCEDUCEIONS.		STOCK CORPORA	TION							
GENERAL INSTRUCTIONS: 1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNU STOCKHOLDERS' MEETING. DO NOT LEAVE ANY ITEM BLANK. WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO T CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER TH THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS AFTER THE ELECTION OF THE DIRECTO TRUSTEES AND OFFICERS OF THE CORPORATION AT THE ANNUAL MEMBERS' MEETING. 2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMITTED GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOU AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.										
<ul> <li>AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.</li> <li>THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE CORPORATE SECRETARY OF THE CORPORATION.</li> <li>THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING F ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT AMENDED GIS CONTAINING THE NEW INFORMAT TOGETHER WITH A COVER LETTER SIGNED THE CORPORATE SECRETARY OF THE CORPORATION. THE AMENDED GIS AND COVER LETTER SHAL SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURED OR BECAME EFFECTIVE.</li> <li>SUBMIT FOUR (4) COPIES OF THE GIS TO THE RECEIVING SECTION AT THE SEC MAIN OFFICE, OR TO SEC SATELLITE OFFICES OR EXTENSION OFFI ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE</li> <li>ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.</li> </ul>										
<ol> <li>THIS GIS MAY BE USED AS EVIDEN LAWS, RULES AND REGULATIONS</li> </ol>	CE AGAINST THE CO	DRPORATION AND ITS R	ESPONSIE	ILE DIRECTORS/OFFICERS FO	R ANY VIULATION OF EXISTING					
		PLEASE PRINT LEGIBL	Y							
CORPORATE NAME:					DATE REGISTERED:					
	O PHILIPPINE EQU	ITY EXCHANGE TRADED	FUND, I	NC.	01/15/2013					
BUSINESS/TRADE NAME:					FISCAL YEAR END:					
	O PHILIPPINE EQU	ITY EXCHANGE TRADED	FUND, I	NC						
SEC REGISTRATION NUMBER:	C\$20	1300728			DECEMBER 31					
DATE OF ANNUAL MEETING PER BY-L					CORPORATE TAX IDENTIFICATION					
					NUMBER (TIN)					
	2ND WEDN	ESDAY OF MAY			008-447-745-000					
ACTUAL DATE OF ANNUAL MEETING.	June	21, 2023			https://www.firstmetroetf.com.					
COMPLETE PRINCIPAL OFFICE ADDRE					ph/ E-MAIL ADDRESS:					
		DE ROXAS CORNER SED	EÑO ST.,	МАКАТІ СІТҮ	N/A					
COMPLETE BUSINESS ADDRESS:					FAX NUMBER:					
18/FLOOR , PS BANK C	ENTER, 777 PASEO	DE ROXAS CORNER SED	EÑO ST.,	МАКАТІ СІТҮ	(632) 8816-0467					
OFFICIAL E-MAIL ADDRESS		E-MAIL ADDRESS	OFI	FICIAL MOBILE NUMBER	ALTERNATE MOBILE NUMBER					
edwin.valeroso@fami.com.ph	avie.diance	o@fami.com.ph		+63 928 505 4993	+63 966 261 6064					
NAME OF EXTERNAL AUDITOR & ITS S	IGNING PARTNER:		SEC ACCRI	EDITATION NUMBER (if applicable):	TELEPHONE NUMBER(5):					
SYCIP GORRES VELAYO A	ND CO./ Redgienald	l G. Radam	1	.18866-SEC (Group A)	(632) 8891-2860 to 65					
PRIMARY PURPOSE/ACTIVITY/INDUS	TRY PRESENTLY EN	IGAGED IN:	INDUST	RY CLASSIFICATION:	GEOGRAPHICAL CODE:					
Engaged in the selling of its own shar	137602000									
	===== IN1	FERCOMPANY AFFILIAT	IONS ==							
PARENT COMPANY	DRESS cor, H.V. de la Costa Sts. Makati									
FIRST METRO INVESTMENT CO.	City									
SUBSIDIARY/AFFILIA	ГЕ	SEC REGISTRATIO	NNO.	AD	DRESS					
		H								
	NOT	E: USE ADDITIONAL SHEET	IF NECESS	ARY						

GENERAL INFORMATION STOCK CORPORAT									
	IBLY =======								
	QUITY EXCHANGE TRADED FUND, INC.								
A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365)									
Please check the appropriate box:									
1,									
a. Banks									
<ul> <li>b. Offshore Banking Units</li> </ul>	4. Jewelry dealers in precious metals, who, as a								
C. Quasi-Banks	business, trade in precious metals								
d. Trust Entities									
<ul> <li>e. Non-Stock Savings and Loan Associations</li> </ul>									
$\square$ f. Pawnshops									
<ul> <li>g. Foreign Exchage Dealers</li> </ul>	Jewelry dealers in precious stones, who, as a								
<ul> <li>h. Money Changers</li> </ul>	5. business, trade in precious stone								
i. Remittance Agents									
j. Electronic Money Issuers									
<ul> <li>k. Financial Institutions which Under Special Laws are subject to</li> </ul>									
Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation,	Company service providers which, as a business,								
including their subsidiaries and affiliates.	6. provide any of the following services to third								
2.	parties:								
a. Insurance Companies									
b. Insurance Agents	a. acting as a formation agent of juridical persons								
🗋 c. Insurance Brokers	b. acting as (or arranging for another person to act								
📋 d. Professional Reinsurers	as) a director or corporate secretary of a company,								
🔲 e. Reinsurance Brokers	a partner of a partnership, or a similar position in								
f. Holding Companies	relation to other juridical persons								
🔲 g. Holding Company Systems									
h. Pro-nood Companies	💭 c providing a registered office, husiness address or								
i. Mutual Benefit Association	accommodation, correspondence or administrative								
j. All Other Persons and entities supervised and/or regulated by the	address for a company, a partnership or any other								
Insurance Commission (IC)	legal person or arrangement								
3.									
a. Securities Dealers	$\Box$ d. acting as (or arranging for another person to act								
b. Securities Brokers	as) a nominee shareholder for another person								
C. Securities Salesman	7. Persons who provide any of the following services:								
d. Investment Houses	a. managing of client money, securities or other								
e. Investment Agents and Consultants	assets								
□ f. Trading Advisors	b. management of bank, savings or securities								
g. Other entities managing Securities or rendering similar services	accounts								
h. Mutual Funds or Open-end Investment Companies	c. organization of contributions for the creation,								
i. Close-end Investment Companies	operation or management of companies								
j. Common Trust Funds or Issuers and other similar entities									
k. Transfer Companies and other similar entities	d. creation, operation or management of juridical								
1. Other entities administering or otherwise dealing in currency,	persons or arrangements, and buying and selling								
commodities or financial derivatives based there on	business entities								
$\Box$ m. Entities administering of otherwise dealing in valuable objects	8. 🗌 None of the above								
n. Entities administering or otherwise dealing in cash Substitutes	Describe								
and other similar monetary instruments or property supervised	nature of								
and/or regulated by the Securities and Exchange Commission	business:								
(SEC)									
B. Has the Corporation complied with the requirements on Custo									
(CDD) or Know Your Customer (KYC), record-keeping, and sub	mission of reports @ Yes C No								
under the AMLA, as amended, since the last filing of its GIS?									

FIRST METRO PHILIPPINE EQUITY EXCHANGE TRADED FUND, INC.

CORPORATE NAME:

#### CAPITAL STRUCTURE

		TYPE OF SHARES *	NUMBER OF	PAR/STAT	TED VALUE	AMOUNT (PhP) (No. of shares X Par/Sta	
			SHARES			(No. 01 shares X Par/Sta	teu valuej
		Common	30,000,000		100.00	3,	000,000,000.0
		TOTAL	30,000,000		TOTAL P		
UBSCRIBED CAP	ITAL	101110	50,000,000		TO THE T		
FILIPINO	NO. OF STOCK- HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHI
	<u>19</u> 	COMMON	19,609,619	<u>19,609,6</u> 12	100.00	1,960,961,900.00	92.84
		TOTAL	19,609,619	TOTAL	TOTAL P	1,960,961,900.00	
F O R E I G N (INDICATE BY NATIONALITY)	NO. OF STOCK- HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHI
PCD Nominees				1 4 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	a lai kii ke ne lai ke ke ka ka na ke ne ke ke ke	tin his sin an an an air air an	
(Non-Filipino)		COMMON	1,331,802	1,331,802	100.00	133,180,200.00	6.31
Chinese	i	COMMON	139,919	139,919	100.00	13.991.900.00	0.66
Australian	1	COMMON	39,920	39,920	100.00	3,992,000.00	0.19
Percentage of For	eign Fauity · '	7.16% TOTAL	1,511,641	ΤΟΤΑΙ	TOTAL P	151,164,100.00	
ereeninge orres			2,012,012		SUBSCRIBED P	2,112,126,000.00	
PAID-UP CAPIT	AL						
FILIPINO	NO. OF STOCK- HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STAT	TED VALUE	AMOUNT (PhP)	% OF OWNERSHI
	19	COMMON	19,609,619	100.00		1,960,961,900.00	92.84
		TOTAL	19,609,619		TOTAL P	1,960,961,900.00	
F O R E I G N (INDICATE BY NATIONALITY)	NO. OF STOCK- HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STAT	TED VALUE	AMOUNT (PhP)	% OF OWNERSHII
PCD Nominees							
(Non-Filipino)	1	COMMON	1,331,802		100.00	133,180,200.00	6.31
	4	COMMON	139,919		100.00	13,991,900.00	0.66
Chinese	1	COMMON	39,920	****************	100.00	3,992,000.00	0.19
Chinese Australian							
Australian		TOTAL	1 211 644		TOTAL D	151 164 100 001	
		TOTAL	1,511,641	TOTAL PAID U	TOTAL P	151,164,100.00 2,112,126,000.00	

\*\* Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

#### **GENERAL INFORMATION SHEET**

STOCK CORPORATION

PLEASE PRINT LEGIBLY

CORPORATE NAME:	FIRST METRO	PHILIPP	INE EQ	UITYEX	CHANGE T	RADED FUND, IN	С.	
	]	DIREC	FORS ,	/ OFFI	CERS			
NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R	BOARD	GENDER	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NUMBER
<ol> <li>EDUARDO R. CARREON</li> <li>149 Lauan St., Ayala Alabang Village, Muntinlupa City</li> </ol>	Filipino	N	с	М	Y	Chairman	N/M; C/M	160-247-790
2. MICHAEL G. SAY Unit 27Q, Burgundy Corporate Tower, Sen. Gil Puyat Ave., Makati City	Filipino	N	м	М	Y	President	A/M	140-421-505
3. KAREN LIZA M. ROA 25 Canterbury St., Hillsborough Alabang Village, Muntinlupa City	Filipino	N	м	F	Y	Director	N/C; C/C	188-641-334
<ol> <li>WINSTON ANDREW L. PECKSON 18 Oxford St., Hillsborough Alabang, Muntinlupa City</li> </ol>	Filipino	N	м	М	Y	Director	N/A	191-609-676
5. ABELARDO V. CORTEZ 791 Pantaleon St., Mandaluyong City	Filipino	N	I	М	Y	Independent Director	A/M; N/M; C/M	158-209-919
6. RAFAEL K. ELORIAGA The Provincial House, St. Vincent Seminary Complex, 221 Tandang Sora Avenue, Quezon City	Filipino	N	I	М	Y	Independent Director	N/A	135-548-979
7. RHODORA ANGELA F. FERRER Philippine AXA Life Centre, 25th floor, 3en. Gil PUyat Ave. corner Tindalo St. Makati	Filipino	N	I	F	Y	Independent Director	A/C	116-065-828
8. EDWIN B. VALEROSO 10A Tower A, Kingswood Towers, Metropolitan Avenue, Makati City	Filipino	N	N	М	N	Corp. Secretary/Info. Officer	N/A	134-188-958
9. JONATHAN T. TABAC 55 Mahogany St. Town and County Executive Village Marcos Highway, Antipolo City	Filipino	N	N	М	N	Compliance Officer	N/A	111-299-468
10. MAURO B. PLACENTE B4, L30 Teakwood St., Cottonwoods Heights Subd., Antipolo City	Filipino	N	N	М	N	Treasurer	N/A	123-192-044
11. ***NOTHING FOLLOWS***								
12.								
3.								
14.								
5.								

INSTRUCTION:

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.

DR SEX COLUMN, PUT 'F' FOR FEMALE, "M' FOR MALE. FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR. FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT. FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT. FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER.

SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.

Page 4

	T LEGIBLY =====					
CORPORATE NAME:			EQUITY EXCHANGE			
FOTAL NUMBER OF STOCKHOLDERS:	25		NO. OF STOCKHOLDER			12
FOTAL ASSETS BASED ON LATEST AUDITED FI	NANCIAL STATE		PHP 2,206,689,870.00			
	S	TOCKHOLDER'S	INFORMATION			
		SHARES S	UBSCRIBED			
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	ТҮРЕ	NUMBER	AMOUNT (PhP)	% OF OWNER- SHIP	AMOUNT PAID (PhP)	TAX IDENTIFICATIO NUMBER
1 CLIENT 00001, Filipino	Common	19,606,512	1,960,651,200.00			
				92.83%	1,960,651,200.00	050-004-774-849
	TOTAL	19,606,512	1,960,651,200.00			
2. CLIENT 00002, Non-Filipino	Common	1,331,802	133,180,200.00			
				6,31%	133,180,200.00	050-004-774-849
	TOTAL	1,331,802	133,180,200.00	с. Р.		1
3. CLIENT 00003, Australian	Соттоп	39,920	3,992,000.00			
				0.19%	3,992,000.00	618-008-281
	TOTAL	39,920	3,992,000.00			
4. CLIENT 00004, Chinese	Common	39,655	3,965,500.00			
				0.19%	3,965,500.00	455-411-779
	TOTAL	39,655	3,965,500.00			
5. CLIENT 00005, Chinese	Common	38,409	3,840,900.00		n	
	-					
				0.18%	3,840,900.00	500-316-925
	TOTAL	38,409	3,840,900.00			
6. CLIENT 00006, Chinese	Common	31,830	3,183,000.00			
				0.15%	3,183,000.00	469-055-877
	TOTAL	31,830	3,183,000.00			
7. CLIENT 00007, Chinese	Common	30,025	3,002,500.00			
				0.14%	3,002,500.00	458-601-052
	TOTAL	30,025	3,002,500.00			
TOTAL AMOUNT O	F SUBSCRIBE	D CAPITAL		99.99%	0.444	915 200 00
		TOTAL AMOU	INT OF PAID-UP CA		2,111	,815,300.00

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

RESIDENTIAL ADDRESS         TYPE         NUMBER         (PhP)         NOME         (PhP)         N           8. CLIENT 00008, Filipino         Common         1,133         113,300.00         0.01%         113,300.00         113,300.00           9. CLIENT 00009, Filipino         Common         1,133         113,300.00         0.01%         113,300.00         113,300.00           9. CLIENT 00009, Filipino         Common         1,133         113,300.00         0.01%         113,300.00         113,300.00           10. CLIENT 000010, Filipino         Common         362         36,200.00         0.01%         113,300.00         15           11. CLIENT 000011, Filipino         Common         136         11,300.00         0.00%         36,200.00         23           12. CLIENT 000012, Filipino         Common         113         11,300.00         11,300.00         10           12. CLIENT 000012, Filipino         Common         113         11,300.00         11,300.00         11,300.00         10           13. CLIENT 000013, Filipino         Common         113         11,300.00         11,300.00         10           13. CLIENT 000013, Filipino         Common         113         11,300.00         11,300.00         11         10	CORPORATE NAME:	FIRST MET	RO PHILIPPINE E	EQUITY EXCHANGE T	RADED FUND,	INC.	
	FOTAL NUMBER OF STOCKHOLDERS:	25	N	IO. OF STOCKHOLDERS	WITH 100 OR MO	ORE SHARES EACH:	12
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS         SHARES SUBSCRIBED         AMOUNT PAID (PhP)         MOUNT PAID (PhP)	FOTAL ASSETS BASED ON LATEST AUDITED FS:	PHP 2,206,6	89,870.00				
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS         TYPE         NUMBER         AMOUNT (Php)         % 0F OWNER, SHIP         AMOUNT PAID (Php)         MUMPR (Php)           8.         CLIENT 00008, Filipino         Common         1.133         113,300.00         113,			STOCKHOLDER'S	<b>INFORMATION</b>			
RESIDENTIAL ADDRESS         TYPE         NUMBER         AMOUNT (PhP)         % 0° 0° WNEE SHIP         (PhP)         IDEN N           8.         CLIENT 00008, Filipino         Common         1,133         113,300.00         0.01%         113,300.00         113,300.00         113,300.00         113,300.00         113,300.00         113,300.00         1000%         Filipino         0.01%         113,300.00         10000%         113,300.00         10000			SHARE	S SUBSCRIBED			ТАХ
Image: Control of the second	-	TYPE	NUMBER				IDENTIFCATION NUMBER
TOTAL         1,133         113,300.00         233           10. CLIENT 000010, Filipino         Common         362         36,200.00         233         36,200.00         233           11. CLIENT 000011, Filipino         Common         113         11,300.00         0.00%         36,200.00         233           12. CLIENT 000012, Filipino         Common         113         11,300.00         0.00%         11,300.00         10           13. CLIENT 000013, Filipino         Common         50         5,000.00         0.00%         5,000.00         23           14. CLIENT 000014, Filipino         Common         50         5,000.00         0.00%         5,000.00         23           14. CLIENT 000014, Filipino         Common         50         5,000.00         0.00%         5,000.00         23           14. CLIENT	8. CLIENT 00008, Filipino	Common	1,133	113,300.00			
CLIENT 00009, Filipino         Common         1,133         113,300,00         113,					0.01%	113,300.00	183-459-845
Image: constant of		TOTAL	1,133	113,300.00			
TOTAL         1,133         113,300.00         International and the second se	9. CLIENT 00009, Filipino	Common	1,133	113,300.00			
TOTAL         1,133         113,300.00         International and the second se					0.0194	113 300 00	158-984-392
Image: Constraint of the second sec		TOTAL	1,133	113,300.00	0.01%	113,300.00	130-704-372
TOTAL         362         36,200,00	10. CLIENT 000010, Filipino	Common	362	36,200.00			
TOTAL         362         36,200,00         Image: Constant of C					0.00%	36.200.00	233-164-377
Image: Constraint of the second sec		TOTAL	362	36,200.00			
TOTAL         113         11,300.00           12. CLIENT 000012, Filipino         Common         113         11,300.00	11. CLIENT 000011, Filipino	Common	113	11,300.00	-		
International control of the second					0.00%	11,300.00	105-414-537
Image: Constraint of the second sec		TOTAL	113	11,300.00			
TOTAL         113         11,300.00           13. CLIENT 000013, Filipino         Common         50         5,000.00           Image: Common         50         5,000.00         0.00%         5,000.00         21           Image: Common         50         5,000.00         0.00%         5,000.00         21           Image: Common         50         5,000.00         0.00%         5,000.00         21           14. CLIENT 000014, Filipino         Common         50         5,000.00         5,000.00         43           Image: Common         50         5,000.00         5,000.00         43	12. CLIENT 000012, Filipino	Common	113	11,300.00			
113       11,300,00					0.00%	11,300.00	105-469-283
Image: Constraint of the image: Constraintof the image: Constraint of the image: Constraint of the		TOTAL	113	11,300.00			
TOTAL         50         5,000.00         5,000.00           14. CLIENT 000014, Filipino         Common         50         5,000.00         43           TOTAL         50         5,000.00         5,000.00         43	13. CLIENT 000013, Filipino	Common	50	5,000.00			
TOTAL         50         5,000.00           14. CLIENT 000014, Filipino         Common         50         5,000.00           Image: Common in the second se					0.00%	5.000.00	217-488-731
TOTAL         50         5,000.00         43		TOTAL	50	5,000.00			
TOTAL 50 5,000.00	14. CLIENT 000014, Filipino	Common	50	5,000.00			1
TOTAL 50 5,000.00					0.00%	5.000.00	430-791-659
		TOTAL	50	5,000.00	0.0070	-,	
TOTAL AMOUNT OF SUBSCRIBED CAPITAL 0.01% 295,400.00	TOTAL AMOUNT	OF SUBSCRIBE	D CAPITAL		0.01%	295,	400.00
TOTAL AMOUNT OF PAID-UP CAPITAL			TOTAL	AMOUNT OF PAID-UI	P CAPITAL		
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS	ΙΝζΤΟΙΙΟΤΙΟΙ	V SPECIEV THE	ፐብዮ 20 ናፐብሮሄዛብ	LDERS AND INDICAT	E THE REST AS	OTHERS	

CORPORATE NAME:			NT LEGIBLY ======			59.59 (5) (5) (5) (5) (5) (5) (5) (5) (5) (5)
FOTAL NUMBER OF STOCKHOLDERS:	25		IO. OF STOCKHOLDERS			12
TOTAL NUMBER OF STOCKHOLDERS:		12				
FOTAL ASSETS BASED ON LATEST AUDITED FS:	PHP 2,206,6					
	s	TOCKHOLDER'S I	NFORMATION SUBSCRIBED			
NAME, NATIONALITY AND CURRENT	AMOUNT PAID	TAX IDENTIFICATIO				
RESIDENTIAL ADDRESS	TYPE	NUMBER	AMOUNT (PbP)	% OF OWNER- SHIP	(PhP)	NUMBER
15. CLIENT 000015, Filipino	Common	45	4,500.00			
				0.000/	4 500.00	105 469 715
	TOTAL	45	4,500.00	0.00%	4,500.00	105-468-715
	TOTAL	43	4,500.00			
16. CLIENT 000016, Filipino	Common	45	4,500.00			
	TOTAL	45	4,500.00	0.00%	4,500.00	119-081-134
	TOTAL	45	4,500.00			
17. CLIENT 000017, Filipino	Common	45	4,500.00			
				0.00%	4,500.00	101-884-887
	TOTAL	45	4,500.00			
18. CLIENT 000018, Filipino	Common	11	1,100.00			
				0,00%	1,100.00	217-734-173
	TOTAL	11	1,100.00			
19 CLIENT 000019, Filipino	Common	1	100.00			
				0.00%	100.00	160-247-790
	TOTAL	1	100.00			
20 CLIENT 000020, Filipino	Common	1	100.00			
				0.00%	100.00	158-209-919
	TOTAL	1	100.00			
1 OTHERS (Indicate the number of the remaining stockholders)	Common	5	500.00			
stochilorderaj						
5 Shareholders				0.00%	500-00	Various
	TOTAL					
TOTAL AMOUNT OF S	SUBSCRIBE	D CAPITAL		0.00%		1
			UNT OF PAID-UP CA		15,	300.00
INSTRUCTION, SPE	СІБУ ТНЕ Т		DERS AND INDICATE		AS OTHERS	

CORPORATE NAME:	FIRST METRO PHILIPPI		ASE PRINT LEGIBLY Y EXCHANGE TRAI				
1. INVESTMENT OF COP			AMOUNT (Ph	iP)	DATE O	F BOARD RESOLUTION	
FUNDS IN ANOTHER 1.1 STOCKS	CORPORATION		PHP 2,200,286,7	/03.00		N/A	
1.2 BONDS/COMME by Private Corp	RCIAL PAPER (Issued		N/A		1	N/A	
1.3 LOANS/ CREDIT			N/A			N/A	
1.4 GOVERNMENT T	FREASURY BILLS		N/A			N/A	
1.5 OTHERS			PHP 5,421,590	0.00		N/A	
	RPORATE FUNDS IN ACTI SES (PLEASE SPECIFY:)	IVITIES UI	NDER ITS	DATE OF BOA RESOLUTIO		DATE OF STOCKHOLDERS RATIFICATION	
	N/A			N/A		N/A	
3. TREASURY SHARES				NO. OF SHAI	RES	% AS TO THE TOTAL NO. OF SHARES ISSUED	
				N/A			
	APPROPRIATED RETAIN			LAST FISCAL YEA	AR (Php 4	5,843,141)	
	E OF DIVIDEND	AMOUNT (PhP)				DATE DECLARED	
5.1 CASH					NONE		
5.2 STOCK				NONE		NONE	
5.3 PROPERTY					NONE		
		TOTAL	Р				
	S ISSUED DURING THE PI				A M(7)11	NIT	
DATE VARIOUS		SHARES		AMOUNT PHP 364,053,458.00			
ECONDARY LICENSE/R	EGISTRATION WITH SEC	CAND OT	HER GOV'T AGENC	Y:			
NAME OF AGENCY: SEC				P		IC	
TYPE OF LICENSE/REGN.	MUTUAL FUNE	)	N/.	A	N/A		
DATE ISSUED:	November 27, 20	013	N/	A		N/A	
DATE STARTED OPERATIONS:	December 02, 20	13	N/A		N/A		
DIRECTORS DURING T	TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP)		NO. OF OFFICERS	TOTAL NO. OF FILE EMPL		TOTAL MANPOWER COMPLEMENT	
PHP 308,989.00			6 0		6		

I, EDWIN B. VALEROSO, Corporate Secretary of FIRST METRO PHILIPPINE EQUITY EXCHANGE TRADED FUND, INC. declare under penalty of perjury that all matters set forth in this GIS have been made in good faith, duly verified by me and to the best of my knowledge and belief are true and correct.

I hereby attest that all the information in this GIS are being submitted in compliance with the rules and regulations of the Securities and Exchange Commission (SEC) the collection, processing, storage and sharing of said information being necessary to carry out the functions of public authority for the performance of the constitutionally and statutorily mandated functions of the SEC as a regulatory agency.

I further attest that I have been authorized by the Board of Directors/Trustees to file this GIS with the SEC.

I understand that the Commission may place the corporation under delinquent status for failure to submit the reportorial requirements three (3) times, consecutively or intermittently, within a period of five (5) years (*Section 177, RA No. 11232*).

Done this \_\_\_\_\_ day of \_\_\_\_\_ 1 1 20230 \_\_\_\_\_ in \_\_\_\_\_ in \_\_\_\_\_ WIN B. VALEROSO (Signature over printed name) SUBSCRIBED AND SWORN TO before me in \_\_\_\_\_\_ on \_\_\_\_ on \_\_\_\_ affiant who personally appeared before me and exhibited to me his/her competent evidence of identity consisting of \_\_\_ issued at \_\_\_\_\_ on \_\_\_ NOTARY PUBLIC

J. BOLIVAR n. Matter No. NP Commissie IBP O.R. No. 199815 2023 & IBP O.R. No. 180816 2024 PTR Q.R. Ne. 3816669 D 01/03/2023 / Roll No. 33832 / TIN # 129-871-009-000 MCLE No. 788 FROM APRIL 15, 2023 UNTIL APRIL 14, 2016 Address: 31 F Harvard St. Cubao, Q.C.



GIS\_STOCK(V.2020)

#### BENEFICIAL OWNERSHIP DECLARATION FOR THE YEAR: 2023

CS201300728
FIRST METRO PHILIPPINE EQUITY EXCHANGE TRADED FUND, INC.

-	EC REGISTRATION NUMBER: CORPORATE NAME:
ĺ	Instructions:

- Identify the Beneficial Owner/s of the corporation as described in the Categories of Beneficial Ownership in items 1. A to I below. List down as many as you can identify. You may use an additional sheet if necessary.
- Fill in the required information on the beneficial owner in the fields provided for.
- In the "Category of Beneficial Ownership" column, indicate the letter(s) corresponding thereto. In the event that 3. the person identified as beneficial owner falls under several categories, indicate all the letters corresponding to such categories.
- 4. If the category is under letter "I", indicate the position held (i.e., Director/Trustee, President, Chief Executive officer, Chief Operating Officer, Chief Financial Officer, etc.). Do not leave any item blank. Write "N/A" if the information required is not applicable or "NONE" if non-existent.
- 5.

"Beneficial Owner" refers to any natural person(s) who ultimately own(s) or control(s) or exercise(s) ultimate effective control over the corporation. This definition covers the natural person(s) who actually own or control the corporation as distinguished from the legal owners. Such beneficial ownership may be determined on the basis of the following:

#### Category

#### Description

- Natural person(s) owning, directly or indirectly or through a chain of ownership, at least twenty-five percent А (25%) of the voting rights, voting shares or capital of the reporting corporation. Natural person(s) who exercise control over the reporting corporation, alone or together with others, through any
- в contract, understanding, relationship, intermediary or tiered entity. Natural person(s) having the ability to elect a majority of the board of directors/trustees, or any similar body, of С
- the corporation. Natural person(s) having the ability to exert a dominant influence over the management or policies of the D corporation
- Natural person(s) whose directions, instructions, or wishes in conducting the affairs of the corporation are E carried out by majority of the members of the board of directors of such corporation who are accustomed or
- under an obligation to act in accordance with such person's directions. instructions or wishes. Natural person(s) acting as stewards of the properties of corporations, where such properties are under the care
- or administration of said natural person(s). Natural person(s) who actually own or control the reporting corporation through nominee shareholders or G
- nominee directors acting for or on behalf of such natural persons. Natural person(s) ultimately owning or controlling or exercising ultimate effective control over the corporation н through other means not falling under any of the foregoing categories. Natural person(s) exercising control through positions held within a corporation (i.e., responsible for strategic
- 1 decisions that fundamentally affect the business practices or general direction of the corporation such as the members of the board of directors or trustees or similar body within the corporation; or exercising executive control over the daily or regular affairs of the corporation through a senior management position). This category is only applicable in exceptional cases where no natural person is identifiable who ultimately owns or exerts control over the corporation, the reporting corporation having exhausted all reasonable means of identification and provided there are no grounds for suspicion

COMPLETE NAME (Surname, Given Name, Middle Name, Name Extension (i.e., Jr., Sr., III)	SPECIFIC RESIDENTIAL ADDRESS	NATIONALITY	DATE OF BIRTH	TAX IDENTIFICATION NO.	% OF OWNERSHIP <sup>1</sup> / % OF VOTING RIGHTS <sup>2</sup>	TYPE OF BENEFICIAL OWNER <sup>3</sup> Direct (D) or Indirect (I)	CATEGORY OF BENEFICIAL OWNERSHIP
EDUARDO R. CARREON	149 Lauan St., Ayala Alabang Village, Muntinlupa City	Filipino	09/28/1948	160-247-790	0.0000%	l/Chairman	<u>i</u>
MICHAEL G. SAY	Unit 27Q, Burgundy Corporate Tower, Sen. Gil Puyat Ave,, Makati City	Filipino	10/27/1959	140-421-505	0.0000%	l/President	3
KAREN LIZA M. ROA	26 Lanterbury St., Hillsborough Alabang Village, Muntinlupa City	Filipino	09/24/1968	188-641-334	0.0000%	l/Director	1
WINSTON ANDREW L	18 Oxford St., Hillsborough Alabang, Muntinlupa City	Filipino	11/10/1951	191-609-676	0.0000%	I/Director	I
ABELARDO V. CORTEZ	791 Pantaleon St., Mandaluyong City	Filipino	08/30/1945	158-209-919	0.0000%	I/Director	1
FR RAFAEL K. Eloriaga	The Provincial House, St. Vincent Seminary Complex, 221 Tandang Sora Avenue, Quezon City	Filipino	09/28/1963	135-548-979	0,0000%	1/Director	3
RHODORA ANGELA F. FERRER	Philippine AXA Life Centre, 25th floor, Sen, Gil PUyat Ave. corner Tindalo St. Makati	Filipino	05/31/1967	116-065-028	0,0000%	l/Director	1

Note: This page is not for uploading on the SEC iView.

For Stock Corporations.

<sup>2</sup> For Non-Stock Corporations.

<sup>3</sup>For Stock Corporations,