



SECURITIES AND EXCHANGE COMMISSION

Secretariat Building, PICC Complex, Roxas Boulevard, Pasay City, 1307 Metro Manila Philippines

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The following document has been received:

Receiving: Mary Irish De Castro

Receipt Date and Time: July 14, 2023 08:14:51 PM

Company Information

SEC Registration No.: CS201300728

Company Name: FIRST METRO PHILIPPINE EQUITY EXCHANGE TRADED FUND, INC.

Industry Classification: J66910

Company Type: Stock Corporation

Document Information

Document ID: OST10714202381477226

Document Type: General Information Sheet

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Period Covered: June 21, 2023

Submission Type: Annual Meeting

Remarks: None

Acceptance of this document is subject to review of forms and contents

COVER SHEET

C S 2 0 1 3 0 0 7 2 8

S.E.C. Registration Number

F I R S T M E T R O P H I L I P P I N E E Q U I T Y
 E X C H A N G E T R A D E D F U N D , I N C .

(Company's Full Name)

1 8 T H F L O O R P S B A N K C E N T E R
 7 7 7 P A S E O D E R O X A S S T
 M A K A T I C I T Y

(Business Address: No. Street City / Town Province)

EDWIN B. VALEROSO
 Contact Person

(0 2) 8 8 9 1 2 8 6 0
 Company Telephone Number

1 2 3 1
 Month Day

Fiscal Year

GENERAL INFORMATION SHEET

Form Type

2nd Wed. of May

 Month Day

Annual Meeting

INVESTMENT COMPANY
 Secondary License type, if applicable

CRMD
 Dept. Requiring this Doc.

 Amended Articles Number/Section

 Total No. of Stockholders

Total amount of Borrowings

 Domestic

 Foreign

 To be Accomplished by SEC Personnel concerned

 File Number

 LCU

 Document I.D.

 Cashier

Remarks = Pls. use black ink for scanning purposes.

GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

Corporate Name: **FIRST METRO PHILIPPINE EQUITY EXCHANGE TRADED FUND, INC.**

A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365) **Yes** **No**

Please check the appropriate box:

<p>1.</p> <p><input type="checkbox"/> a. Banks</p> <p><input type="checkbox"/> b. Offshore Banking Units</p> <p><input type="checkbox"/> c. Quasi-Banks</p> <p><input type="checkbox"/> d. Trust Entities</p> <p><input type="checkbox"/> e. Non-Stock Savings and Loan Associations</p> <p><input type="checkbox"/> f. Pawnshops</p> <p><input type="checkbox"/> g. Foreign Exchange Dealers</p> <p><input type="checkbox"/> h. Money Changers</p> <p><input type="checkbox"/> i. Remittance Agents</p> <p><input type="checkbox"/> j. Electronic Money Issuers</p> <p><input type="checkbox"/> k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.</p>	<p>4. <input type="checkbox"/> Jewelry dealers in precious metals, who, as a business, trade in precious metals</p>
<p>2.</p> <p><input type="checkbox"/> a. Insurance Companies</p> <p><input type="checkbox"/> b. Insurance Agents</p> <p><input type="checkbox"/> c. Insurance Brokers</p> <p><input type="checkbox"/> d. Professional Reinsurers</p> <p><input type="checkbox"/> e. Reinsurance Brokers</p> <p><input type="checkbox"/> f. Holding Companies</p> <p><input type="checkbox"/> g. Holding Company Systems</p> <p><input type="checkbox"/> h. Pro-nood Companies</p> <p><input type="checkbox"/> i. Mutual Benefit Association</p> <p><input type="checkbox"/> j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)</p>	<p>5. <input type="checkbox"/> Jewelry dealers in precious stones, who, as a business, trade in precious stone</p>
<p>3.</p> <p><input type="checkbox"/> a. Securities Dealers</p> <p><input type="checkbox"/> b. Securities Brokers</p> <p><input type="checkbox"/> c. Securities Salesman</p> <p><input type="checkbox"/> d. Investment Houses</p> <p><input type="checkbox"/> e. Investment Agents and Consultants</p> <p><input type="checkbox"/> f. Trading Advisors</p> <p><input type="checkbox"/> g. Other entities managing Securities or rendering similar services</p> <p><input checked="" type="checkbox"/> h. Mutual Funds or Open-end Investment Companies</p> <p><input type="checkbox"/> i. Close-end Investment Companies</p> <p><input type="checkbox"/> j. Common Trust Funds or Issuers and other similar entities</p> <p><input type="checkbox"/> k. Transfer Companies and other similar entities</p> <p><input type="checkbox"/> l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on</p> <p><input type="checkbox"/> m. Entities administering of otherwise dealing in valuable objects</p> <p><input type="checkbox"/> n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)</p>	<p>6. Company service providers which, as a business, provide any of the following services to third parties:</p> <p><input type="checkbox"/> a. acting as a formation agent of juridical persons</p> <p><input type="checkbox"/> b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons</p> <p><input type="checkbox"/> c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement</p> <p><input type="checkbox"/> d. acting as (or arranging for another person to act as) a nominee shareholder for another person</p>
<p>7. Persons who provide any of the following services:</p> <p><input type="checkbox"/> a. managing of client money, securities or other assets</p> <p><input type="checkbox"/> b. management of bank, savings or securities accounts</p> <p><input type="checkbox"/> c. organization of contributions for the creation, operation or management of companies</p> <p><input type="checkbox"/> d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities</p>	<p>8. <input type="checkbox"/> None of the above</p>
<p>Describe nature of business:</p>	

B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS? **Yes** **No**

GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME:		FIRST METRO PHILIPPINE EQUITY EXCHANGE TRADED FUND, INC.					
CAPITAL STRUCTURE							
AUTHORIZED CAPITAL STOCK							
	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP) (No. of shares X Par/Stated Value)			
	Common	30,000,000	100.00	3,000,000,000.00			
TOTAL		30,000,000	TOTAL P				
SUBSCRIBED CAPITAL							
FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	19	COMMON	19,609,619	19,609,612	100.00	1,960,961,900.00	92.84%
TOTAL			19,609,619	TOTAL	TOTAL P	1,960,961,900.00	
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
PCD Nominees							
(Non-Filipino)	1	COMMON	1,331,802	1,331,802	100.00	133,180,200.00	6.31%
Chinese	4	COMMON	139,919	139,919	100.00	13,991,900.00	0.66%
Australian	1	COMMON	39,920	39,920	100.00	3,992,000.00	0.19%
Percentage of Foreign Equity : 7.16%		TOTAL	1,511,641	TOTAL	TOTAL P	151,164,100.00	
TOTAL SUBSCRIBED P						2,112,126,000.00	
PAID-UP CAPITAL							
FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP	
	19	COMMON	19,609,619	100.00	1,960,961,900.00	92.84%	
TOTAL			19,609,619	TOTAL P	1,960,961,900.00		
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP	
PCD Nominees							
(Non-Filipino)	1	COMMON	1,331,802	100.00	133,180,200.00	6.31%	
Chinese	4	COMMON	139,919	100.00	13,991,900.00	0.66%	
Australian	1	COMMON	39,920	100.00	3,992,000.00	0.19%	
7.16 %		TOTAL	1,511,641	TOTAL P	151,164,100.00		
TOTAL PAID UP P					2,112,126,000.00		
NOTE: USE ADDITIONAL SHEET IF NECESSARY							
* Common, Preferred or other classification							
** Other than Directors, Officers, Shareholders owning 10% of outstanding shares.							

GENERAL INFORMATION SHEET

STOCK CORPORATION

PLEASE PRINT LEGIBLY

CORPORATE NAME: **FIRST METRO PHILIPPINE EQUITY EXCHANGE TRADED FUND, INC.**

DIRECTORS / OFFICERS

NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R	BOARD	GENDER	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NUMBER
1. EDUARDO R. CARREON 149 Lauan St., Ayala Alabang Village, Muntinlupa City	Filipino	N	C	M	Y	Chairman	N/M; C/M	160-247-790
2. MICHAEL G. SAY Unit 27Q, Burgundy Corporate Tower, Sen. Gil Puyat Ave., Makati City	Filipino	N	M	M	Y	President	A/M	140-421-505
3. KAREN LIZA M. ROA 25 Canterbury St., Hillsborough Alabang Village, Muntinlupa City	Filipino	N	M	F	Y	Director	N/C; C/C	188-641-334
4. WINSTON ANDREW L. PECKSON 18 Oxford St., Hillsborough Alabang, Muntinlupa City	Filipino	N	M	M	Y	Director	N/A	191-609-676
5. ABELARDO V. CORTEZ 791 Pantaleon St., Mandaluyong City	Filipino	N	I	M	Y	Independent Director	A/M; N/M; C/M	158-209-919
6. RAFAEL K. ELORIAGA The Provincial House, St. Vincent Seminary Complex, 221 Tandang Sora Avenue, Quezon City	Filipino	N	I	M	Y	Independent Director	N/A	135-548-979
7. RHODORA ANGELA F. FERRER Philippine AXA Life Centre, 25th floor, Sen. Gil Puyat Ave. corner Tindalo St. Makati	Filipino	N	I	F	Y	Independent Director	A/C	116-065-828
8. EDWIN B. VALEROSO 10A Tower A, Kingswood Towers, Metropolitan Avenue, Makati City	Filipino	N	N	M	N	Corp. Secretary/Info. Officer	N/A	134-188-958
9. JONATHAN T. TABAC 55 Mahogany St. Town and County Executive Village Marcos Highway, Antipolo City	Filipino	N	N	M	N	Compliance Officer	N/A	111-299-468
10. MAURO B. PLACENTE B4, L30 Teakwood St., Cottonwoods Heights Subd., Antipolo City	Filipino	N	N	M	N	Treasurer	N/A	123-192-044
11. ***NOTHING FOLLOWS***								
12.								
13.								
14.								
15.								

INSTRUCTION:

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.

FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.

FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.

FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.

FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER,

SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.

FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION

AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.

GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====						
CORPORATE NAME:		FIRST METRO PHILIPPINE EQUITY EXCHANGE TRADED FUND, INC.				
TOTAL NUMBER OF STOCKHOLDERS:	25	NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:			12	
TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:		PHP 2,206,689,870.00				
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER-SHIP		
1. CLIENT 00001, Filipino	Common	19,606,512	1,960,651,200.00	92.83%	1,960,651,200.00	050-004-774-849
	TOTAL	19,606,512	1,960,651,200.00			
2. CLIENT 00002, Non-Filipino	Common	1,331,802	133,180,200.00	6.31%	133,180,200.00	050-004-774-849
	TOTAL	1,331,802	133,180,200.00			
3. CLIENT 00003, Australian	Common	39,920	3,992,000.00	0.19%	3,992,000.00	618-008-281
	TOTAL	39,920	3,992,000.00			
4. CLIENT 00004, Chinese	Common	39,655	3,965,500.00	0.19%	3,965,500.00	455-411-779
	TOTAL	39,655	3,965,500.00			
5. CLIENT 00005, Chinese	Common	38,409	3,840,900.00	0.18%	3,840,900.00	500-316-925
	TOTAL	38,409	3,840,900.00			
6. CLIENT 00006, Chinese	Common	31,830	3,183,000.00	0.15%	3,183,000.00	469-055-877
	TOTAL	31,830	3,183,000.00			
7. CLIENT 00007, Chinese	Common	30,025	3,002,500.00	0.14%	3,002,500.00	458-601-052
	TOTAL	30,025	3,002,500.00			
TOTAL AMOUNT OF SUBSCRIBED CAPITAL				99.99%	2,111,815,300.00	
TOTAL AMOUNT OF PAID-UP CAPITAL						

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME:		FIRST METRO PHILIPPINE EQUITY EXCHANGE TRADED FUND, INC.				
TOTAL NUMBER OF STOCKHOLDERS:		25		NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH: 12		
TOTAL ASSETS BASED ON LATEST AUDITED FS:		PHP 2,206,689,870.00				
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNERSHIP		
8. CLIENT 00008, Filipino	Common	1,133	113,300.00	0.01%	113,300.00	183-459-845
	TOTAL	1,133	113,300.00			
9. CLIENT 00009, Filipino	Common	1,133	113,300.00	0.01%	113,300.00	158-984-392
	TOTAL	1,133	113,300.00			
10. CLIENT 00010, Filipino	Common	362	36,200.00	0.00%	36,200.00	233-164-377
	TOTAL	362	36,200.00			
11. CLIENT 00011, Filipino	Common	113	11,300.00	0.00%	11,300.00	105-414-537
	TOTAL	113	11,300.00			
12. CLIENT 00012, Filipino	Common	113	11,300.00	0.00%	11,300.00	105-469-283
	TOTAL	113	11,300.00			
13. CLIENT 00013, Filipino	Common	50	5,000.00	0.00%	5,000.00	217-488-731
	TOTAL	50	5,000.00			
14. CLIENT 00014, Filipino	Common	50	5,000.00	0.00%	5,000.00	430-791-659
	TOTAL	50	5,000.00			
TOTAL AMOUNT OF SUBSCRIBED CAPITAL				0.01%	295,400.00	
TOTAL AMOUNT OF PAID-UP CAPITAL						
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS						
Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.						

GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME:		FIRST METRO PHILIPPINE EQUITY EXCHANGE TRADED FUND, INC.				
TOTAL NUMBER OF STOCKHOLDERS:	25	NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:			12	
TOTAL ASSETS BASED ON LATEST AUDITED FS:		PHP 2,206,689,870.00				
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER-SHIP		
15. CLIENT 000015, Filipino	Common	45	4,500.00	0.00%	4,500.00	105-468-715
	TOTAL	45	4,500.00			
16. CLIENT 000016, Filipino	Common	45	4,500.00	0.00%	4,500.00	119-081-134
	TOTAL	45	4,500.00			
17. CLIENT 000017, Filipino	Common	45	4,500.00	0.00%	4,500.00	101-884-887
	TOTAL	45	4,500.00			
18. CLIENT 000018, Filipino	Common	11	1,100.00	0.00%	1,100.00	217-734-173
	TOTAL	11	1,100.00			
19. CLIENT 000019, Filipino	Common	1	100.00	0.00%	100.00	160-247-790
	TOTAL	1	100.00			
20. CLIENT 000020, Filipino	Common	1	100.00	0.00%	100.00	158-209-919
	TOTAL	1	100.00			
21 OTHERS (Indicate the number of the remaining stockholders) 5 Shareholders	Common	5	500.00	0.00%	500.00	Various
	TOTAL					
TOTAL AMOUNT OF SUBSCRIBED CAPITAL				0.00%	15,300.00	
TOTAL AMOUNT OF PAID-UP CAPITAL						
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS						
Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.						

GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====			
CORPORATE NAME: FIRST METRO PHILIPPINE EQUITY EXCHANGE TRADED FUND, INC.			
1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION	AMOUNT (Php)	DATE OF BOARD RESOLUTION	
1.1 STOCKS	PHP 2,200,286,703.00	N/A	
1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)	N/A	N/A	
1.3 LOANS/ CREDITS/ ADVANCES	N/A	N/A	
1.4 GOVERNMENT TREASURY BILLS	N/A	N/A	
1.5 OTHERS	PHP 5,421,590.00	N/A	
2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:)	DATE OF BOARD RESOLUTION	DATE OF STOCKHOLDERS RATIFICATION	
N/A	N/A	N/A	
3. TREASURY SHARES	NO. OF SHARES	% AS TO THE TOTAL NO. OF SHARES ISSUED	
	N/A		
4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR (Php 45,843,141)			
5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR:			
TYPE OF DIVIDEND	AMOUNT (Php)	DATE DECLARED	
5.1 CASH	NONE	NONE	
5.2 STOCK	NONE	NONE	
5.3 PROPERTY	NONE	NONE	
TOTAL	P		
6. ADDITIONAL SHARES ISSUED DURING THE PERIOD:			
DATE	NO. OF SHARES	AMOUNT	
VARIOUS	3,680,000	PHP 364,053,458.00	
SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:			
NAME OF AGENCY:	SEC	BSP	IC
TYPE OF LICENSE/REGN.	MUTUAL FUND	N/A	N/A
DATE ISSUED:	November 27, 2013	N/A	N/A
DATE STARTED OPERATIONS:	December 02, 2013	N/A	N/A
TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in Php)	TOTAL NO. OF OFFICERS	TOTAL NO. OF RANK & FILE EMPLOYEES	TOTAL MANPOWER COMPLEMENT
PHP 308,989.00	6	0	6

NOTE: USE ADDITIONAL SHEET IF NECESSARY

I, **EDWIN B. VALEROSO**, Corporate Secretary of **FIRST METRO PHILIPPINE EQUITY EXCHANGE TRADED FUND, INC.** declare under penalty of perjury that all matters set forth in this GIS have been made in good faith, duly verified by me and to the best of my knowledge and belief are true and correct.

I hereby attest that all the information in this GIS are being submitted in compliance with the rules and regulations of the Securities and Exchange Commission (SEC) the collection, processing, storage and sharing of said information being necessary to carry out the functions of public authority for the performance of the constitutionally and statutorily mandated functions of the SEC as a regulatory agency.

I further attest that I have been authorized by the Board of Directors/Trustees to file this GIS with the SEC.

I understand that the Commission may place the corporation under delinquent status for failure to submit the reportorial requirements three (3) times, consecutively or intermittently, within a period of five (5) years (*Section 177, RA No. 11232*).

Done this _____ day of JUL 11 2023²⁰ in QUEZON CITY

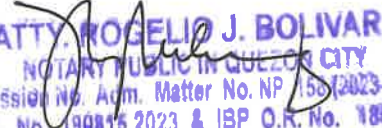

EDWIN B. VALEROSO

(Signature over printed name)

SUBSCRIBED AND SWORN TO before me in JUL 11 2023 on QUEZON CITY by affiant who personally appeared before me and exhibited to me his/her competent evidence of identity consisting of _____ issued at _____ on _____.

NOTARY PUBLIC

Doc. No. 321
Page No. 66
Book No. 74
Series of 23


ATTY. ROGELIO J. BOLIVAR
NOTARY PUBLIC IN QUEZON CITY
Commission No. Adm. Matter No. NP 150 (2023-2024)
IBP O.R. No. 190816 2023 & IBP O.R. No. 180816 2024
PTR O.R. No. 3816649 D 01/03/2023 / Roll No. 33832 / TIN # 129-871-009-000
MCLE No. 788 FROM APRIL 15, 2023 UNTIL APRIL 14, 2026
Address: 31 F Harvard St. Cubao, Q.C.

**BENEFICIAL OWNERSHIP DECLARATION
FOR THE YEAR: 2023**

SEC REGISTRATION NUMBER:
CORPORATE NAME:

CS201300728
FIRST METRO PHILIPPINE EQUITY EXCHANGE TRADED FUND, INC.

Instructions:

1. Identify the Beneficial Owner/s of the corporation as described in the Categories of Beneficial Ownership in items A to I below. List down as many as you can identify. You may use an additional sheet if necessary.
2. Fill in the required information on the beneficial owner in the fields provided for.
3. In the "Category of Beneficial Ownership" column, indicate the letter(s) corresponding thereto. In the event that the person identified as beneficial owner falls under several categories, indicate all the letters corresponding to such categories.
4. If the category is under letter "I", indicate the position held (i.e., Director/Trustee, President, Chief Executive Officer, Chief Operating Officer, Chief Financial Officer, etc.).
5. Do not leave any item blank. Write "N/A" if the information required is not applicable or "NONE" if non-existent.

"Beneficial Owner" refers to any natural person(s) who ultimately own(s) or control(s) or exercise(s) ultimate effective control over the corporation. This definition covers the natural person(s) who actually own or control the corporation as distinguished from the legal owners. Such beneficial ownership may be determined on the basis of the following:

Category

Description

- A Natural person(s) owning, directly or indirectly or through a chain of ownership, at least twenty-five percent (25%) of the voting rights, voting shares or capital of the reporting corporation.
- B Natural person(s) who exercise control over the reporting corporation, alone or together with others, through any contract, understanding, relationship, intermediary or tiered entity.
- C Natural person(s) having the ability to elect a majority of the board of directors/trustees, or any similar body, of the corporation.
- D Natural person(s) having the ability to exert a dominant influence over the management or policies of the corporation.
- E Natural person(s) whose directions, instructions, or wishes in conducting the affairs of the corporation are carried out by majority of the members of the board of directors of such corporation who are accustomed or under an obligation to act in accordance with such person's directions, instructions or wishes.
- F Natural person(s) acting as stewards of the properties of corporations, where such properties are under the care or administration of said natural person(s).
- G Natural person(s) who actually own or control the reporting corporation through nominee shareholders or nominee directors acting for or on behalf of such natural persons.
- H Natural person(s) ultimately owning or controlling or exercising ultimate effective control over the corporation through other means not falling under any of the foregoing categories.
- I Natural person(s) exercising control through positions held within a corporation (i.e., responsible for strategic decisions that fundamentally affect the business practices or general direction of the corporation such as the members of the board of directors or trustees or similar body within the corporation; or exercising executive control over the daily or regular affairs of the corporation through a senior management position). This category is only applicable in exceptional cases where no natural person is identifiable who ultimately owns or exerts control over the corporation, the reporting corporation having exhausted all reasonable means of identification and provided there are no grounds for suspicion.

COMPLETE NAME (Surname, Given Name, Middle Name, Name Extension (i.e., Jr., Sr., III))	SPECIFIC RESIDENTIAL ADDRESS	NATIONALITY	DATE OF BIRTH	TAX IDENTIFICATION NO.	% OF OWNERSHIP ¹ / % OF VOTING RIGHTS ²	TYPE OF BENEFICIAL OWNER ³ Direct (D) or Indirect (I)	CATEGORY OF BENEFICIAL OWNERSHIP
EDUARDO R. CARREON	149 Lauan St., Ayala Alabang Village, Muntinlupa City	Filipino	09/28/1948	160-247-790	0.0000%	I/Chairman	I
MICHAEL G. SAY	Unit 27Q, Burgundy Corporate Tower, Sen. Gil Puyat Ave., Makati City	Filipino	10/27/1959	140-421-505	0.0000%	I/President	I
KAREN LIZA M. ROA	26 Canterbury St., Hillsborough Alabang Village, Muntinlupa City	Filipino	09/24/1968	188-641-334	0.0000%	I/Director	I
WINSTON ANDREW L. PECKSON	18 Oxford St., Hillsborough Alabang, Muntinlupa City	Filipino	11/10/1951	191-609-676	0.0000%	I/Director	I
ABELARDO V. CORTEZ	791 Pantaleon St., Mandaluyong City	Filipino	08/30/1945	158-209-919	0.0000%	I/Director	I
FR. RAFAEL K. ELORIAGA	The Provincial House, St. Vincent Seminary Complex, 221 Tandang Sora Avenue, Quezon City	Filipino	09/28/1963	135-548-979	0.0000%	I/Director	I
RHODORA ANGELA F. FERRER	Philippine AXA Life Centre, 25th floor, Sen. Gil Puyat Ave. corner Tindalo St. Makati	Filipino	05/31/1967	116-065-828	0.0000%	I/Director	I

Note: This page is not for uploading on the SEC iView.

¹ For Stock Corporations.

² For Non-Stock Corporations.

³ For Stock Corporations.